



PATENT

#1/
6-2-03

THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: James D. Kelly *et al.*
APPLICATION NO.: 10/006,939
FILING DATE: November 30, 2001
TITLE: BUS TRANSACTION REORDERING IN A COMPUTER SYSTEM HAVING
UNORDERED SLAVES
EXAMINER: Gopal C. Ray
GROUP ART UNIT: 2181
ATTY. DKT. NO.: 18602-06222 (P2080R1)

COMMISSIONER FOR PATENTS
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RESPONSE TO OFFICE ACTION

SIR:

In response to the Office Action mailed February 21, 2003, enclosed are the following:

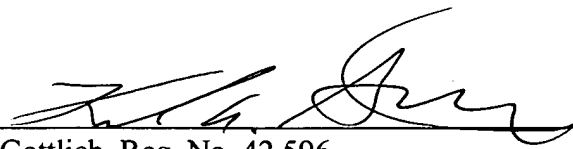
1. Substitute Reissue Application: Declaration by the Inventor (2 documents);
2. Surrender of Original Letters Patent No. 5,996,036; and
3. Terminal Disclaimer over U.S. Patent No. 5,933,612.

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Respectfully submitted,
JAMES D. KELLY *et al.*

MAY 29 2003
Technology Center 2100

Dated: May 23, 2003

By: 
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PTO/SB/21 (modified)
Approved for use through xx/xx/xx, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM (to be used for all correspondence during pendency of filed application)		Application Number	10/006,939
		Filing Date	November 30, 2001
		First Named Inventor	James D. Kelly et al.
		Group Art Unit Number	2181
		Examiner Name	Gopal C. Ray
Total Number of Pages in This Submission	11*	Attorney Docket Number	18602-06222 (P2080R1)

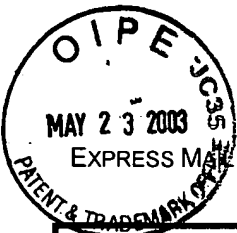
ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
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<input checked="" type="checkbox"/> Substitute Reissue Declarations (2)	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Application Data Sheet	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References	<input checked="" type="checkbox"/> One Month Extension of Time
<input type="checkbox"/> Request for Corrected Filing Receipt	<input checked="" type="checkbox"/> Surrender of Original US Letters Patent No. 5,996,036
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input checked="" type="checkbox"/> Terminal Disclaimer over US Patent No. 5,933,612
<input checked="" type="checkbox"/> Response: [1] Page <input type="checkbox"/> After Final	<input type="checkbox"/>
<input type="checkbox"/> Status Request	<input type="checkbox"/>
<input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/>

REMARKS: *Original Letters Patent not included in page count

SIGNATURE OF ATTORNEY OR AGENT		
Signature:		
Attorney/Reg. No.:	Kirk A. Gottlieb, Reg. No. 42,596	Dated: May 23, 2003

CERTIFICATE OF MAILING		
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.		
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PTO/SB/17 (10-02) (Modified)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2003 <small>Patent fees are subject to annual revision.</small>		Complete if Known	
		Application Number	10/006,939
		Filing Date	November 30, 2001
		First Named Inventor	James D. Kelly <i>et al.</i>
		Examiner Name	Gopal C. Ray
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Art Unit	2181
TOTAL AMOUNT OF PAYMENT (\$) 220.00		Attorney Docket No.	18602-06222 (P2080R1)

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METHOD OF PAYMENT (check all that apply) <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input type="checkbox"/> Deposit Account: Deposit Account Number: 19-2555 Deposit Account Name: Fenwick & West LLP The Commissioner is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.				FEE CALCULATION (continued)			
1. BASIC FILING FEE Large Entity Small Entity Fee Code Fee Code Fee Description Fee Paid 1001 750 2001 375 Utility filing fee 1002 330 2002 165 Design filing fee 1003 520 2003 260 Plant filing fee 1004 750 2004 375 Reissue filing fee 1005 160 2005 80 Provisional filing fee SUBTOTAL (1) (\$) 0				3. ADDITIONAL FEES Large Entity Small Entity Fee Description Fee Paid Fee Code Fee Code 1051 130 2051 65 Surcharge - late filing fee or oath 1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet 1053 130 1053 130 Non-English specification 1812 2,520 1812 2,520 For filing a request for <i>ex parte</i> reexamination 1804 920* 1804 920* Requesting publication of SIR prior to Examiner action 1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action 1251 110 2251 55 Extension for reply within first month 110 1252 410 2252 205 Extension for reply within second month 1253 930 2253 465 Extension for reply within third month 1254 1,450 2254 725 Extension for reply within fourth month 1255 1,970 2255 985 Extension for reply within fifth month 1401 320 2401 160 Notice of Appeal 1402 320 2402 160 Filing a brief in support of an appeal 1403 280 2403 140 Request for oral hearing 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive - unavoidable 1453 1,300 2453 650 Petition to revive - unintentional 1501 1,300 2501 650 Utility issue fee (or reissue) 1502 470 2502 235 Design issue fee 1503 630 2503 315 Plant issue fee 1460 130 1460 130 Petitions to the Commissioner 1807 50 1807 50 Processing fee under 37 CFR 1.17(g) 1806 180 1806 180 Submission of Information Disclosure Stmt 8021 40 8021 40 Recording each patent assignment per property (times number of properties) 1809 750 2809 375 Filing a submission after final rejection (37 CFR 1.129(a)) 1810 750 2810 375 For each additional invention to be examined (37 CFR 1.129(b)) 1801 750 2801 375 Request for Continued Examination (RCE) 1802 900 1802 900 Request for expedited examination of a design application Other fee (specify) Terminal Disclaimer Over USPN 5,933,612 110 SUBTOTAL (3) (\$) 220			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Total Claims: * -20** = * X 18/9 = * Independent Claims: * -3** = * X 84/42 = * Multiple Dependent: = Large Entity Small Entity Fee Code Fee Code Fee Description Fee Paid 1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3 1203 280 2203 140 Multiple dependent claim, if not paid 1204 84 2204 42 **Reissue independent claims over original patent 1205 18 2205 9 **Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) 0							

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Kirk A. Gottlieb	Registration No. (Attorney/Agent)	42,596
Signature		Telephone (415)	875-2414
		Date	May 23, 2003